Stock, Mutual Funds and Incoming Electronic Transfer
Instructions for Donors
As of May 2024

As account information may change over time, please contact UD prior to initiating a transfer to ensure that you have the most current instructions.

University of Delaware EIN (Employer Identification Number) – 51-6000297

The valuation of gifts of securities is derived from averaging the highest and lowest selling price for the security on the date of receipt into a UD brokerage account. This methodology is in accordance with IRS regulations.

Stock Transfers
The University of Delaware holds accounts with the two below brokerage firms. If you have an account with one of these firms, please instruct your broker to use this account. Otherwise, please use the Wilmington Advisors @ M&T account (LPL Financial). Please instruct your advisor to provide your name along with the transfer.

It is also important that you or your broker contact and disclose to the University the following:

- Donor name
- Name/ticker of stock
- Number of shares
- How you would like the gift proceeds to be allocated
- Time frame expected
- Name of brokerage account

Prior to the transfer of any gift, the above information should be submitted either to the development gift officer with whom you are currently communicating or the following University of Delaware Treasury Services contact(s):

Kristen Garcia  Theresa Staso  Beth Fetcho
Sr. Manager, Treasury Services  Sr. Accountant  Director, Treasury Operations
(302) 831-3675  (302) 831-2108  bfetcho@udel.edu
krisaber@udel.edu  tstaso@udel.edu
All accounts are in the name “University of Delaware”

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<th>Broker</th>
<th>Contact Information</th>
<th>Account Number</th>
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<tr>
<td>LPL Financial</td>
<td>285 Delaware Ave. Ste. 100, Buffalo, NY 14202</td>
<td>4900-3713</td>
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<tr>
<td>(Wilmington Advisors @ M&amp;T)</td>
<td>Steven Smith, Assistant Vice President, ISG/ Municipal Capital Markets, (410) 318-6841</td>
<td>DTC 0075</td>
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<tr>
<td></td>
<td><a href="mailto:sc.smith@mandtfinancialservices.com">sc.smith@mandtfinancialservices.com</a></td>
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<tr>
<td>Janney Montgomery Scott</td>
<td>Anthony A. Snaith, (610) 254-1101, <a href="mailto:asnaith@janney.com">asnaith@janney.com</a>, 836-46606, DTC 0374</td>
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<td>Patricia Ranalli, (610) 254-1136, <a href="mailto:pranalli@janney.com">pranalli@janney.com</a>, Toll Free: (800) 866-7608</td>
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**Mutual Funds**
If you wish to transfer mutual funds that are held directly with the fund company / issuer, you or your broker should contact Steven Smith with Wilmington Advisors @ M&T: sc.smith@mandtfinancialservices.com or (410) 318-6841.

If the mutual fund(s) are held with a brokerage firm, please have your broker transfer the mutual fund(s) via the same process as if it were a stock.

**Incoming Electronic Transfers (ACH or Wire)**
If you wish to transfer funds directly to the University via ACH or Wire, please contact one of the following individuals to obtain banking instructions:

- **Kristen Garcia**
  Sr. Manager, Treasury Services
  (302) 831-3675
  krisaber@udel.edu

- **Beth Fetcho**
  Director, Treasury Operations
  (410) 935-1727
  bfetcho@udel.edu